UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicant(s): Phillip J. Davis

Serial No.:

10/588,914

Confirmation No: 2916

Filed:

August 9, 2006

For:

INTRAURETHRAL VALVE, METHOD AND IMPLANTING TOOL

Examiner:

N/A

Art Unit: N/A

Atty Docket: UVL-001US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office, the items listed on the attached PTO-1449 Forms. A copy of the non-U.S. patent literature listed on the PTO-1449 Forms is enclosed. If any additional fees are required, please charge deposit account 50-1101.

Respectfully submitted,

Dated: May 17, 2007

Kenneth J. LuKacher Attorney for Applicant(s) Registration No. 38,539

South Winton Court 3136 Winton Road South, Suite 301 Rochester, New York 14623

Telephone: (585) 424-2670 Facsimile: (585) 424-6196

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on May 17, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Erin Moynihan

Signature of Person Making Correspondence

Typed or Printed Name of Person Mailing Correspondence

1 7			ATTY DOCKET NO.		APPLICATION N	1
	NEODMATION DIGOLOGUES	CITATION	PE UVL-001US APPLICANT(S)		10/5	88,914
ı	INFORMATION DISCLOSURE (Use several sheets if necess	canul /	2\Phillip I Davis			
	(Ose several streets if frecess	I MAY 9	1 2007 FILING DATE		GROUP ART UN	İT
		TEL TEL	08/09/2006			N/A
		A TANK	PATENT DOCUMENTS			
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,642,004	02/1972	OSTHAGEN ET AL.			
	3,731,670	05/1973	LOE			
	3,812,841	05/1974	ISAACSON			
	3,854,469	12/1974	GIORI ET AL.			
	3,939,821	02/1976	ROTH			:
		U.S. PATE	NT APPLICATION PUBLICATIONS			
EXAMINER DOCUMENT NUMBER DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		FOR	EIGN PATENT DOCUMENTS			-
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		FNTO //	ing Author Title Date Badisard	Pages 5	(to)	
	OTHER DOCUM	ENIS (INCIUO	ing Author, Title, Date, Pertinent	rayes, E		
				<u>-</u>		
EXAMINE	R		DATE CONSIDERED		<u>=</u>	
*EXAMINI	ER: Initial if reference considered, whet d. Include copy of this form with next c	ther or not citation communication to a	is in conformance with MPEP 609; Draw applicant.	line through	n citation if not in c	conformance and not
						

(Use several sheets if necessary)

APPLICATION NO. ATTY DOCKET NO. 10/588,914 UVL-001US APPLICANT(S) Phillip J. Davis FILING DATE GROUP ART LINIT

			08/09/20]	N/A	
		U	S.S. PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,952,726	04/1976	HENNIG ET AL.				
	4,024,855	05/1977	BUCALO				
	4,154,226	05/1979	HENNIG ET AL.				
	4,210,132	07/1980	PERLIN				
	4,258,705	03/1981	SORENSEN ET AL.				
		U.S. PAT	ENT APPLICATION PUBLICATIONS	3			
*EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
			·				
		FO	REIGN PATENT DOCUMENTS	•			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	T
						YES	NO
					-		
	OTHER DOCU	MENTS (Includ	ding Author, Title, Date, Pertir	I nent Pages. Etc.	 .)	<u> </u>	L
		1			,		<u>-</u>
EXAMINER	1		DATE CONSIDERED)			
*EXAMINER:	Initial if reference considered, wh	ether or not citation	n is in conformance with MPEP 609;	Draw line through c	itation if not in o	conformance	and not

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

P09C/REV04

(Use several sheets if necessary)

ATTY DOCKET NO.

UVL-001US

APPLICATION NO.

10/588,914

APPLICANT(S)

Phillip J. Davis

FILING DATE

GROUP ART UNIT

			08/09/2006		ROUP ART UN Î	N/A	
		U.	S. PATENT DOCUMENTS	•			
*EXAMINER	DOCUMENT NUMBER -	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
INITIAL	4,721,095	01/1988	REY ET AL.			II AFFICIA	
	4,828,544	05/1989	LANE ET AL.				
	4,904,256	02/1990	YAMAGUCHI				-
	4,961,725	10/1990	REY ET AL.				
	4,994,019	02/1991	FERNANDEZ ET AL.				
		U.S. PATE	NT APPLICATION PUBLICATIONS				
'EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
		FOR	REIGN PATENT DOCUMENTS		1	· · · · · · · · · · · · · · · · · · ·	· ***
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	LATION NO
						120	
			•				
	OTHER DOCUM	I MENTS (Includ	ling Author, Title, Date, Pertinen	t Pages, Etc.)	1	L

EXAMINER			DATE CONSIDERED				
*EXAMINER:	Initial if reference considered, whe	ther or not citation	is in conformance with MPEP 609; Dragaplicant.	w line through ci	tation if not in o	onformance	and not

Form PTO-A820 (also form PTO-1449)

P09C/REV04

(Use several sheets if necessary)

ATTY DOCKET NO. UVL-001US	APPLICATION NO. 10/588,914	
APPLICANT(S) Phillip J. Davis		
FILING DATE	GROUP ART UNIT	•
08/09/2006	l N/A	

							
		υ	S. PATENT DOCUMENTS				
EXAMINER NITIAL	DOCUMENT NUMBER	DATE	· NAME	CLASS	SUBCLASS	FILING DATE	ATE
	4,994,020	02/1991	POLYAK				
	5,004,454	04/1991	BEYAR ET AL.				
	5,019,102	05/1991	HOENE				
	5,030,199	07/1991	BARWICK ET AL.				
	5,040,002	08/1991	POLLACEK ET AL.				
		U.S. PATE	ENT APPLICATION PUBLICATIONS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
						<u> </u>	
					<u> </u>		
		FO	REIGN PATENT DOCUMENTS			·	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
						•	
					,		
	OTHER DOCU	MENTS (Inclu	ding Author, Title, Date, Pertin	ent Pages, Etc	.)		
			·				
EXAMINER			DATE CONSIDERED				
*EXAMINER	: Initial if reference considered, wh	ether or not citatio	n is in conformance with MPEP 609; I	Oraw line through o	citation if not in	conformance	and not

Form PTO-A820 (also form PTO-1449)

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE P09C/REV04

PAGE

(Use several sheets if necessary)

ATTY DOCKET NO. UVL-001US	APPLICATION NO. 10/588,914
APPLICANT(S) Phillip J. Davis	
FILING DATE 08/09/2006	GROUP ART UNIT

		U	S. PATENT DOCUMENTS				
EXAMINER INITIAL	1 DOCOMENT NUMBER		NAME	CLASS	SUBCLASS	FILING DATE	
	5,041,092	08/1991	BARWICK				
	5,112,306	05/1992	BURTON ET AL.				
	5,135,538	08/1992	PAWLAK ET AL.				
	5,140,999	08/1992	ARDITO				
	5,234,409	0\$1993	GOLDBERG ET AL.				
		U.S. PATE	NT APPLICATION PUBLICATIONS			•	
'EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<u>-</u>		FOR	REIGN PATENT DOCUMENTS		-	•	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
<u>. </u>	OTHER DOCU	I MENTS (Includ	I ding Author, Title, Date, Pertine	ent Pages, Etc	_ .)	1	1
							
			,				
EXAMINER	8		DATE CONSIDERED				
*EXAMINE	R: Initial if reference considered, wh	ether or not citation	n is in conformance with MPEP 609: D	raw line through o	itation if not in	conformance	and not

P09C/REV04

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

considered. Include copy of this form with next communication to applicant.

٠ .						ATTY DOCKET NO.	APPLICATION NO. 10/588.914			
	INFO	RMA	ATION DISCLOSUR	E CITATION		UVL-00 APPLICANT(S)	108	10/	588,914	
		(U	se several sheets if nece	essary)		Urovalve				
						FILING DATE		GROUP ART U	NIT	,
						08/09/2	006			
				U.S	S. PATENT	DOCUMENTS				
*EXAMINER			DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DA	
		5,36	66,506	11/1994	DAVIS					
		5,62	4,374	04/1997	VON II	DERSTEIN				
		5,71	3,877	02/1998	DAVIS					
		6,22	1,060	04/2001	WILLA	ARD				
		6,41	7,750	07/2002	SOHN					
				U.S. PATE	NT APPLIC	ATION PUBLICATIONS	3			
*EXAMINER INITIAL	DOCOMENT NUMBER DATE		DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
								:		
				FORI	EIGN PATE	NT DOCUMENTS				
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
		7006	668A1	03/1996	EP				1	
		SU1	296128A1	03/1987	U.S.S.R	•				1
		DE2	2754807A1	06/1979	GERMA	ANY				1
		· · · · · · · · · · · · · · · · · · ·	OTHER DOCUM	IENTS (Includia	ng Author	, Title, Date, Pertin	ent Pages, Etc	c. <i>)</i>	1	
EXAMINE	L R					DATE CONSIDERED			. =*:	
<u> </u>			, , , , , , , , , , , , , , , , , , , ,							
*EXAMINE considered	R: Initi I. Inclu	al if ref ide cop	ference considered, whet by of this form with next c	her or not citation is ommunication to ap	s in conform oplicant.	ance with MPEP 609; D	Oraw line through	citation if not in co	onformance	and not

Form PTO-A820 (also form PTO-1449)

P09C/REV04

• •					ATTY DOCKET NO. UVL-001US		APPLICATION N 10/3	10. 588,914	
į		ATION DISCLOSURE Jse several sheets if necess			APPLICANT(S) Urovalve				
					FILING DATE 08/09/2006		GROUP ART UNIT		
			U.S	S. PATENT	DOCUMENTS				
*EXAMINER		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
	6,5	27,702	03/2003	WHAL	EN ET AL				
	6,5	51,304	04/2003	WHAL	EN ET AL.				
	6,0	66,088	05/2000	DAVIS					
	5,7	49,826	05/1998	FAUL	KNER				
	6,6	23,421	09/2003	RIVER	O RODRIGUEZ			·	
			U.S. PATEN	IT APPLIC	ATION PUBLICATIONS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
			FORE	IGN PATE	NT DOCUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY CLAS		SUBCLASS	TRANS YES	LATION NO
									<u> </u>
,									
,									
		OTHER DOCUME	NTS (Includin	g Authoi	r, Title, Date, Pertinent	Pages, Et	c.)		
,									
EXAMINE	R	<u>I</u>			DATE CONSIDERED				
		eference considered, whether opy of this form with next con			lance with MPEP 609; Draw	line through	citation if not in co	onformance	and not

P09C/REV04

	nitial if reference considered, whet clude copy of this form with next c			mance with MFEF 609, DI	aw mie unough c	nation if not in Co	Smormance	and not	
EXAMINER	that is not			DATE CONSIDERED		Table 15			

	OTHER DOCUM	ENTS (Includ	ling Autho	r, Title, Date, Pertine	ent Pages, Etc	:.)			
							123		
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	CLASS SUBCLASS	TRANS	LATION	
		FOF	REIGN PATI	ENT DOCUMENTS	I		1		
			 						
INITIAL							IF APPROP	RIATE	
*EXAMINER	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	E	
		U.S. PATE	ENT APPLIC	CATION PUBLICATIONS	<u>_</u>	1	<u>i</u>		
		-						····	
	5,964,732	10/1999	WILL	ARD					
'EXAMINER	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		ι	J.S. PATEN	T DOCUMENTS	·				
	·	• •		FILING DATE 08/09/20		GROUP ART UNIT			
IN	FORMATION DISCLOSUR (Use several sheets if nece			APPLICANT(S) Urovalve				110.10	
• • •				UVL-001US APPLICATION			I NO. 0/ 588,914		

Form PTO-A820 (also form PTO-1449)

P09C/REV04